

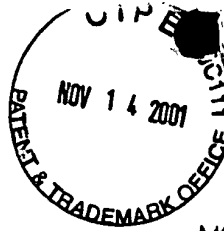
NOV. 14. 2001

3:12PM

TOWNSEND & TOWNSEND

NO. 434

P. 1/17



Atty Docket No. 020313-000520US

PTO FAX NO.: 703-746-7240  
ATTENTION: Customer Service, Initial Patent Examination Division Group Art Unit 2161  
TELEPHONE NO.: 703-308-1202

**OFFICIAL COMMUNICATION**  
**RESPONSE TO NOTICE OF FILE MISSING PARTS OF**  
**NONPROVISIONAL APPLICATION.**  
**FOR ENTRY IN APPLICATION NO. 09/919,787** **Official**  
**FILED JULY 31, 2001**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following document(s) in re Application of JEFFRY J. GRAINGER, Application No. 09/919,787, filed July 31, 2001 for COMPUTER IMPLEMENTED METHOD OF MANAGING INFORMATION DISCLOSURE STATEMENTS is being facsimile transmitted to the Patent and Trademark Office on the date shown below. Due to the recent disturbance with the U.S. mail, the attached Response to Notice of Missing Parts is being filed via facsimile. All originally executed documents are retained in our files. Upon request by the Examiner, all executed documents will be submitted for review.

Document(s) Attached

1. Transmittal Form SB/21 (1 page)
2. Fee Transmittal Form SB/17 (in duplicate ) (2 pages)
3. Petition to Extend Time (1 page)
4. Declaration (1 page)
5. Supplemental Application Data Sheet (4 pages)
6. Power of Attorney (1 page)
7. Statement Under 3.73(b) with attached copy of Assignment (5 pages)
8. Copy of Notice of Missing Parts (1 page)

Number of pages being transmitted, including this page: 17

Dated: November 14, 2001

*Krista K. Merrimae*  
Krista K. Merrimae

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2422**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Telephone: 650-326-2400 / Fax: 650-326-2422

PA 3183944 v1

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL  
FORM**

(tube used for all correspondence after initial filing)

|  |                      |                        |                 |
|--|----------------------|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br>(tube used for all correspondence after initial filing) | Application Number   | 09/919,787             |                 |
|  | Filing Date          | July 31, 2001          |                 |
|  | First Named Inventor | Grainger, Jeffrey J.   |                 |
|  | Group Art Unit       | 2161                   |                 |
|  | Examiner Name        | To Be Assigned         |                 |
| Total Number of Pages in This Submission   | 1                    | Attorney Docket Number | 020313-000520US |

**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
- Declaration; Supplemental Application Data Sheet; Power of Attorney; Statement Under 3.73(b) w/ copy of Assignment; Copy of Missing Parts Notice; Facsimile Coversheet

**Remarks**

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated August 15, 2001, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                          |   |                 |
|--------------------------|---|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP<br>Chad R. Walsh | Reg. No. 43,235 |
| Signature                | <i>Chad Walsh</i>                                   |                 |
| Date                     | November 14, 2001                                   |                 |

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being sent by facsimile transmission to: Customer Service Center, Initial Patent Examination, Group Art Unit 2100, at Fax No. 1-703-746-7240 on this date:

November 14, 2001

|                       |                        |      |                   |
|-----------------------|------------------------|------|-------------------|
| Typed or printed name | Krista K. Merrimac     | Date | November 14, 2001 |
| Signature             | <i>Krista Merrimac</i> |      |                   |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3183871 v1

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** **for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 490

## Complete If Known

Application Number 09/918,787  
 Filing Date July 31, 2001  
 First Named Inventor Grainger, Jeffrey J.  
 Examiner Name To Be Assigned  
 Group Art Unit 2181  
 Attorney Docket No. 020313-000520US

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

| 1. BASIC FILING FEE |                 |                |                 |                        |
|---------------------|-----------------|----------------|-----------------|------------------------|
| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        |
| 101                 | 740             | 201            | 370             | Utility filing fee     |
| 106                 | 330             | 206            | 165             | Design filing fee      |
| 107                 | 510             | 207            | 255             | Plant filing fee       |
| 108                 | 740             | 208            | 370             | Reissue filing fee     |
| 114                 | 160             | 214            | 80              | Provisional filing fee |
| SUBTOTAL (1)        |                 |                |                 | (\$370)                |

## 2. EXTRA CLAIM FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claims, if not paid                     |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

11/26/2001 NTEKLENI 00000015 201430 09919787  
 Sale Ref: 00000018 DR# 201430 09919787  
 01 FC:201  
 02 FC:205

370.00 CH  
 370.00 CH  
 370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

370.00 CH

## FEE CALCULATION (continued)

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 65       |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 138            | 130             | 138            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     | 55       |
| 116            | 400             | 216            | 200             | Extension for reply within second month                                    |          |
| 117            | 820             | 217            | 460             | Extension for reply within third month                                     |          |
| 118            | 1,440           | 218            | 720             | Extension for reply within fourth month                                    |          |
| 129            | 1,960           | 228            | 980             | Extension for reply within fifth month                                     |          |
| 118            | 320             | 218            | 160             | Notice of Appeal   |          |
| 120            | 320             | 220            | 160             | Filing a brief in support of an appeal                                     |          |
| 121            | 280             | 221            | 140             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,280           | 241            | 640             | Petition to revive - unintentional   |          |
| 142            | 1,280           | 242            | 640             | Utility issue fee (or reissue)   |          |
| 143            | 480             | 243            | 230             | Design issue fee   |          |
| 144            | 620             | 244            | 310             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Sheet                                 |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 740             | 246            | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 740             | 249            | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 740             | 279            | 370             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$120)

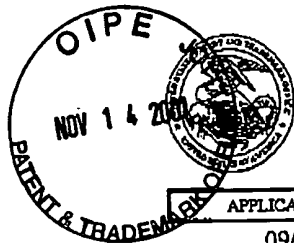
## SUBMITTED BY

Name (Print/Type) Chad R. Walsh Registration No. (Attorney/Agent) 43,235 Telephone 650-326-2400  
 Signature *Chad Walsh* Date November 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3183860 v1

Received from <6503262422> at 11/14/01 6:17:24 PM [Eastern Standard Time]



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/919,787         | 07/31/2001          | Jeffrey J. Grainger   | 020313-000520US        |

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 4834

## FORMALITIES LETTER



Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

11/26/2001 MTEKLENI 00000018 201430 09919787

01 FC:201 370.00 CH  
02 FC:205 65.00 CH*A copy of this notice **MUST** be returned with the reply.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE